Leveraging Stainless Steel Finishes on Sanitary Equipment

For many food and chemical producers, the level of finishing on processing equipment may be prescribed by federal, state and local regulatory agencies. In sanitary applications, however, the finish as well as the material must also be designed for easy and reliable cleaning and sanitation. Agencies require sanitary finishes to have a minimum RA (roughness average,) but processors are seeing the advantages of exceeding regulatory compliance due to the decreased sanitation time and decreased bacteria risk proven with higher-end finishes.

This white paper serves as an educational and informational reference to include:

- Definitions of stainless finishes and measurement designations
- An overview of surface textures of stainless steels
- A guide to stainless steel finishes
- RA comparison data by finish type

For original equipment manufacturers, the level of complexity for stainless steel fabrication of sanitary equipment is significant. Each industry requires experience and controlled processes for quality fabrication. Tooling, process and technique are very important.

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The process for sanitary stainless steel fabrication starts with the selection of the metal and handling of the material. The fundamental process of working with stainless steel with sanitary requirements includes careful handling to prevent contamination from the manufacturing environment and the use of protective surfaces throughout all processes.

In sanitary applications, stainless steel equipment requires a sanitary finish. The term “sanitary finish” in general refers to a smooth, scratch-free, non-corrosive finish. There are several mechanical and chemical finishes that can fulfill agency requirements for sanitary specifications. In choosing the type of finish, it is important to understand the definitions and criteria for how finishes are designated.

**Surface Texture** The surface of the material including irregularities and deviations, including roughness and grain.

**Grit** is defined as the size of the abrasive used in the polishing process. Typically coarse, lower grit numbers are associated with grinding and higher grit numbers are associated with polishing. Grit size however does not fully define the surface.

**RA (Roughness Average)** A standard for an average of the peaks and valleys of the metal’s surface, measured in microinches or micrometers.

**RMS (Root Mean Square)** is a machining standard used to diagnose machine operations and surface finish.

The fineness of the finish and ultimate success of the sanitation effectiveness is measured in RA, the roughness average measured by height in millionths of an inch or microinches. A profilometer determines RA values of small surface variations and calculates their average to determine roughness.

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*This chart compares RA surface designations in a range of finishes. More precise RA values are dependent on the gauge of material. Refer to page seven (7) for more specific finish designations by gauge.
There are two different methods for achieving a polished finish. The first is mechanical. Mechanical polishing involves the removal of material using an abrasive, mechanical process. Typically, grit sizes of 120 and finer are used for mechanical polishing.

The other method for polishing is to use a chemical surface treatment. Chemical treatments remove the outer layer of corrosion in the material to preserve the integrity of the metal.

Below is a description of textures and finishes featuring both mechanical and chemical methods, commonly used to produce Apache’s tank and vessel processing equipment as well as the Mepaco food processing equipment line.

**Stainless Steel Textures & Finishes**

**Mill Finish** A Mill Finish has an unpolished, dull-gray, matte appearance. This finish forms the basis for supply condition for all stainless steel flat products, and also forms the basis for additional finishing operations. It is hot or cold rolled with a RA of more than 100 microinches, depending on the gauge of the material. (Gauge refers to cold rolled; plate is hot rolled material.)

**2B Mill Finish** This is a widely used stainless steel finish, common in industrial, chemical and food applications. It is corrosion resistant and has a typical RA range of 40 (7 gauge) to 15 (16 gauge) microinches.

**No. 3 Finish** The No. 3 Finish uses a 120 grit abrasive. It has a semi-polished finish with an RA range of 36 - 58 microinches.

**No. 4 Finish** This finish uses a 150 grit abrasive, and shows a polished, brushed surface. The RA range is 29 - 40 microinches.

**No. 4 Dairy / 4A Finish** For processing industries, the number 4 Dairy is required to meet the basic 3-A standards. It uses a 180 grit and has a RA range of 18 - 31 microinches.

**Bead Blasted** The process of bead blasting utilizes bead material such as glass, ceramic beads or dry ice to produce a non-directional, textured surface with a soft satin appearance and low-reflectivity. The finer the blasting media, the more corrosion resistant the surface performance. The RA values are typically greater than 45, but are dependent on the blasting process and the stainless material.
### Mill Finish

**Description**
(Mill finish - Plate)
The baseline for comparison, this is unfinished steel in basic supply condition.

**Applications**
Structural

**Sanitation Environment**
None - not used in food contact areas

**RA**
>100 microinches Depending on material

**Caution**
Does not meet sanitary, food contact or processing finishing requirements

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### 2B Finish

**Description**
(2B Finish -Gauge)
Common corrosion resistant, heat resistant, smooth, (not brushed) steel

**Applications**
Material handling, processing, direct food contact

**Sanitation Environment**
Suitable for caustic sanitary wash down procedures

**RA**
36 (7 gauge) to 15 (16 gauge) in microinches

**Caution**
Note that 2B finishes can have the same RA as higher end finishes depending on gauge, compare economies when making material decisions unless otherwise required by compliance factions.

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### No. 4 Finish

**Description**
Characterized by short, polished brushed lines

**Applications**
Used in clean rooms and in food processing equipment

**Sanitation Environment**
Suitable for caustic sanitary wash down procedures

**RA**
29 to 40 microinches

**Caution**
Note that a No. 4 finish is not compliant for 3A standards; a 4A finish will satisfy RA requirements for the Dairy/Cheese manufacturing industry.

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### No. 4A Finish

**Description**
Also characterized by short, polished brushed lines, the 4A finish uses a finer grit polish

**Applications**
Used in clean rooms, processing equipment, used in Pharmaceutical industries and complies to 3A Dairy standards

**Sanitation Environment**
Suitable for caustic sanitary wash down procedures

**RA**
18 - 31 microinches (3A standards require 32 or less)

**Caution**
Welds are also required to be ground to a No. 4A finish to meet 3A Dairy standards
# CHEMICAL FINISHES

## Bead Blast

**Description**
A uniform, non-directional, low-reflective surface; bead blasting can be mechanical or chemical (dry ice).

**Applications**
Used when a uniform finish is desired in structural, material handling or food handling applications.

**Sanitation Environment**
Bead blasting on common 304 and 316 stainless material is suitable for caustic wash down procedures.

**RA**
\( >45 \) depending on blasting process.

**Caution**
Bead blasting is not necessarily a smooth finish, the RA and smoothness depends on the stainless material used, the fineness of the blasting media and the blasting process.

**Federal Specification No.**
A-967

## Passivation

**Description**
A chemical (typically nitric or citrus acid) treatment that produces a formation of a protective passive film on stainless steel.

**Applications**
Most stainless steel material is passivated, polished or treated in some way to prevent corrosion; passivation may also be a federal specification.

**Sanitation Environment**
Passivated stainless material can withstand caustic, aggressive sanitary wash down procedures.

**RA**
RA values have no significant improvement after passivation.*

**Caution**
Chemical passivation is a protective treatment, not a descaling process.

**Federal Specification No.**
A-380

## Pickle Passivation

**Description**
Also referred to as descaling, pickle passivation removes the scale and leaves a clean matte finish free from contamination.

**Applications**
Used in pharmaceutical industries as a federal specification and in food processing industries to reduce food safety risk.

**Sanitation Environment**
Suitable for caustic, aggressive sanitary wash down environments.

**RA**
Depending on material, pickle passivation can result in up to 25% increased smoothness measured in RA*.

**Caution**
Partner with expert finishing specialists who perform the recommended procedures for best results.

**Federal Specification No.**
A-967

## Electro-Polishing

**Description**
Surface metal is dissolved, removing all embedded contaminants, creating a smooth, mirror finish.

**Applications**
Used in pharmaceutical industries as a federal specification and in food processing industries to prevent bacterial attachment and reduce food safety risk.

**Sanitation Environment**
Highest grade of passive surface available, can be subjected to long term caustic wash down.

**RA**
Depending on material, electropolishing can result in up to 50% increased smoothness measured in RA*.

**Caution**
Partner with expert finishing specialists who perform the recommended procedures for best results.

**Federal Specification No.**
B-912

*Apache In-house finishing before/after tests; results vary depending on stainless material.
Passivation  Passivation is the removal of excess iron or iron compounds from the surface of stainless steel by means of a chemical, typically an acid based solution. Unlike pickle passivation, no metal is removed from the surface during the process. The process has little affect on the RA values of the stainless material being passivated.

Pickle Passivation is the immersion of the metal in a pickling bath or coating the material with pickling solution, such as nitric-hydroflouric acid. The process removes both metallic contamination and heat-treating scales. Pickle passivated stainless steel has a matte appearance. Apache’s tests have confirmed improvements up to 25% in RA readings on material that has been pickle passivated.

Electropolishing  Electropolishing is an electro-chemical process that removes surface material from stainless steel. The process includes an immersion of the stainless steel component into a temperature controlled bath of electrolyte that is charged with a DC power supply. Electrolytes used in electropolishing are concentrated sulfuric and phosphoric acid solutions. The finish has a mirror appearance. Apache’s before/after tests have shown improvements in RA smoothness up to 50%; results vary depending on stainless material.

While surface standards such as 3-A, requires smooth, impervious material, free of cracks and crevices, often processors need to choose the finish that meets those requirements with the best decision that fits their cleaning processes, risk factors and overall business objectives.

Below is a study, conducted at Apache, that compares high-end finishes under 200X magnification. It shows the RA smoothness in four finish examples of 304 Stainless Steel. In the study, the Electropolished finish is more than six times smoother in RA readings than the Bead Blasted finish. These findings are only significant to the processor if smoother finishes provide the benefit to cleaning and sanitation in their manufacturing processes.

<table>
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<tr>
<th>SURFACE SMOOTHNESS TEST UNDER 200X MAGNIFICATION</th>
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<tr>
<td>304 Stainless Steel 2B Finish 15 - 17 RA (12 gauge)</td>
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<tr>
<td>304 Stainless Steel Bead Blast Finish 35 - 45 RA</td>
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<tr>
<td>304 Stainless Steel 2B Finish 20 - 30 RA (10 gauge)</td>
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Since stainless steel resists corrosion, maintains its strength at high temperatures, and is easily maintained. It is widely used in items such as industrial and food processing products, as well as medical and health equipment. The most common US grades of stainless steel are:

**TYPE 304 / 304L**
The most commonly specified austenitic (chromium-nickel stainless class) stainless steel, accounting for more than half of the stainless steel produced in the world. This grade withstands ordinary corrosion in architecture, is durable in typical food processing environments, and resists most chemicals. Type 304 is available in virtually all product forms and finishes.

**TYPE 316 / 316L**
Austenitic (chromium-nickel stainless class) stainless steel containing 2%-3% molybdenum (whereas 304 has none). The inclusion of molybdenum gives 316 greater resistance to various forms of deterioration.
Apache’s food processing customers have more discretion in choosing equipment finishes even in food contact environments. The type of food product, bacterial count, manufacturing function and sanitation procedures all have an impact on the requirements as well as the selection of equipment finishes.

When weighing the advantages of food contact equipment finishes, the U.S. Dept. of Agriculture and Research Service offers a study conducted by the American Society of Mechanical Engineers on electropolishing and surface finishes. In the research, samples of stainless steel finishes were exposed to bacteria to allow growth. As microorganisms became attached to surfaces, they became more resistant to both physical and chemical sanitation practices. It was determined that out of eleven different finishes tested, the electropolished finish was the most resistant surface to bacterial attachment.

Passivation, pickle passivation and electropolishing processes are offered in-house by experienced technicians; there is no dependency on outsourcing. Apache provides finishing services on tanks, vessels and food processing equipment as well as contract finishing requests.

Apache consists of five business groups: ASME tanks, portable vessels, carbon steel (Plover, WI) contract manufacturing and Mepaco®. With modifiable options, Mepaco’s product line includes: thermal processing equipment, mixers, blenders, augers, dumpers, sanitary conveyors and material handling systems.

As a 100% employee owned company, Apache’s culture exemplifies continuous improvement, efficiency, innovation and commitment to our customer.

References:
The Fabricator, A publication of the Fabricators & Manufacturers Association, Intl.
ISSF, International Stainless Steel Forum
ASTM, International Standards Worldwide
USDA Agricultural Research Service
3-A, Basics of Sanitary Design
Apache and Mepaco industry experts and reference documents